



PTO/SB/21 (08-03)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/641,137	
	Filing Date	August 15, 2003	
	First Named Inventor	Gerald Dyck	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	545	Attorney Docket Number	2252-102

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08A and References Cited Return Postcard
<b>Remarks</b>  		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Clifford W. Vermette Vermette & Co.
Signature	
Date	November 13, 2003

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/641,137  
Title: ELECTRO-MECHANICAL CONTINUOUSLY VARIABLE TRANSMISSION  
Filed: August 15, 2003  
Inventors: Gerald Dyck, Paul Dries, Mohsen Bagherpour & John Czepak

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Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the Applicant's duty of disclosure set forth in 37 C.F.R. §1.56 and pursuant to the provisions of 37 C.F.R. §1.97, et seq., Applicant brings to the attention of the Examiner the information contained in the following for consideration during the examination of the above-referenced patent application:

U.S. Patent Documents

<u>Patent No.</u>	<u>Issued</u>	<u>Inventor(s)</u>
6,405,818	June 18, 2002	Anthony et al.
5,433,282	July 18, 1995	Moroto et al.
5,791,427	August 11, 1998	Yamaguchi
5,697,466	December 16, 1997	Moroto et al.
5,823,281	October 20, 1998	Yamaguchi et al.
6,003,626	December 21, 1999	Ibaraki et al.
6,098,735	August 8, 2000	Sadarangani et al.
6,209,672	April 3, 2001	Severinsky
6,358,173	March 19, 2002	Klemen et al.
1,307,230	June 17, 1919	Von Zweigbergk et al.
2,050,185	August 4, 1936	Kibler
2,578,837	December 18, 1951	Raney
4,779,486	October 25, 1988	Schumacher
5,558,588	September 24, 1996	Schmidt
5,931,757	August 3, 1999	Schmidt
5,935,035	August 10, 1999	Schmidt
6,022,287	February 8, 2000	Klemen et al.
3,799,284	March 26, 1974	Hender
4,335,429	June 15, 1982	Kawakatsu
4,579,019	April 1, 1986	Gabriele
3,699,351	October 17, 1972	Addie

5,419,406	May 30, 1995	Kawamoto et al.
5,509,491	April 23, 1996	Hall, III
5,558,589	September 24, 1996	Schmidt
5,577,973	November 26, 1996	Schmidt
6,371,878	April 16, 2002	Bowen
5,643,119	July 1, 1997	Yamaguchi et al.

### Foreign Patents

<u>Country</u>	<u>Patent No.</u>	<u>Issued/Published</u>	<u>Inventor(s)</u>
U.K.	399,223	October 2, 1933	Robertson
U.K.	624,179	May 30, 1949	Desmoulins et al.
U.K.	2,275,309	August 24, 1994	Yang

It is respectfully submitted that the Applicant's invention defines patentable subject matter over the teachings of the above references, alone or in any combination thereof. Accordingly, favorable action on this application is respectfully requested. A completed form PTO/SB/08A, delineating the above references, is enclosed, as well as a copy of each of the references.

This Disclosure Statement, filed in accordance with 37 C.F.R. §1.97, should not be construed as a representation that a search has been made, or that no other material information, as defined in 37 C.F.R. §1.56(a), exists.

Date:

Nov 7/03

Respectfully submitted,

by:

(signature of co-applicant)

GERALD DYCK

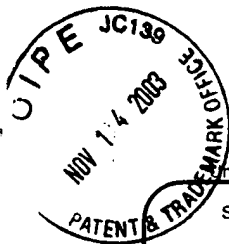
(printed name of co-applicant)

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Clifford W. Vermette, Registration No. 30,018

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Encls. - as listed above



PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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of 2

**Complete if Known**

Application Number	10/641,137
Filing Date	August 15, 2003
First Named Inventor	Gerald Dyck
Art Unit	
Examiner Name	
Attorney Docket Number	2252-102

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 6,405,818	06-18-2002	Anthony et al.	
	2	US- 5,433,282	07-18-1995	Moroto et al.	
	3	US- 5,791,427	08-11-1998	Yamaguchi	
	4	US- 5,697,466	12-16-1997	Moroto et al.	
	5	US- 5,823,281	10-20-1998	Yamaguchi et al.	
	6	US- 6,003,626	12-21-1999	Ibaraki et al.	
	7	US- 6,098,735	08-08-2000	Sadarangani et al.	
	8	US- 6,209,672	04-03-2001	Severinsky	
	9	US- 6,358,173	03-19-2002	Klemen et al.	
	10	US- 1,307,230	06-17-1919	Von Zweigbergk et al.	
	11	US- 2,050,185	08-04-1936	Kibler	
	12	US- 2,578,837	12-18-1951	Raney	
	13	US- 4,779,486	10-25-1988	Schumacher	
	14	US- 5,558,588	09-24-1996	Schmidt	
	15	US- 5,931,757	08-03-1999	Schmidt	
	16	US- 5,935,035	08-10-1999	Schmidt	
	17	US- 6,022,287	02-08-2000	Klemen et al.	
	18	US- 3,799,284	03-26-1974	Hender	
	19	US- 4,335,429	06-15-1982	Kawakatsu	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	1	GB 399,223	10-02-1933	Robertson		
	2	GB 624,179	05-30-1949	Desmoulins et al.		
	3	GB 2,275,309	08-24-1994	Yang		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	2	of	2
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**Complete if Known**

Application Number	10/641,137
Filing Date	August 15, 2003
First Named Inventor	Gerald Dyck
Art Unit	
Examiner Name	
Attorney Docket Number	2252-102

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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